

# Interpolation by Weak Chebyshev Spaces

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We present two characterizations of Lagrange interpolation sets for weak Chebyshev spaces. The first of them is valid for an arbitrary weak Chebyshev space  $U$  and is based on an analysis of the structure of zero sets of functions in  $U$  extending Stockenberg's theorem. The second one holds for all weak Chebyshev spaces that possess a locally linearly independent basis. © 2000 Academic Press

## 1. INTRODUCTION

Let  $U$  denote a finite-dimensional subspace of real valued functions defined on a totally ordered set  $K$ , for example, an arbitrary subset of  $\mathbb{R}$ .

A finite subset  $T = \{t_1, \dots, t_n\}$  of  $K$ , where  $n = \dim U$ , is called an *interpolation set* (*I-set*) w.r.t.  $U$  if for any given data  $\{y_1, \dots, y_n\}$  there exists a unique function  $u \in U$  such that

$$u(t_i) = y_i, \quad i = 1, \dots, n.$$

It is easy to see that  $T$  is an *I-set* w.r.t.  $U$  if and only if

$$\dim U|_T = n,$$

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where  $U|_T := \{u|_T : u \in U\}$ . For a set of  $s$  points,  $T = \{t_1, \dots, t_s\} \subset K$ , with  $s < n$ , we say that  $T$  is an  $I$ -set if  $\dim U|_T = s$ .

We are interested in describing  $I$ -sets w.r.t.  $U$  in the case when  $U$  is a *weak Chebyshev space* ( $WT$ -space), i.e., every  $u \in U$  has at most  $n - 1$  sign changes. The primary example of a  $WT$ -space is the space of univariate polynomial splines, in which case all interpolation sets can be characterized by well-known Schoenberg–Whitney condition (see e.g. [12]). Extensions of Schoenberg–Whitney theorem to some classes of generalized spline spaces were proposed in [11, 13, 14].

Recently, some characterizations of  $I$ -sets w.r.t. weak Chebyshev spaces without any *a priori* assumption about “piecewise Haar” spline-like structure have been found. In [4] it was proved that Schoenberg–Whitney characterization in its “dimension form” holds true for a  $WT$ -space  $U$  if and only if  $U|_{K'}$  is also a  $WT$ -space for all  $K' \subset K$ . This last property is satisfied, for example, if  $U$  is a weak Descartes space. In [2] the “support form” of Schoenberg–Whitney theorem has been shown to hold true for every  $WT$ -space that possesses a locally linearly independent weak Descartes basis. (See [7] for a review of various forms of Schoenberg–Whitney condition, especially in regard to their extendibility to multivariate splines.)

The purpose of this paper is twofold. In Section 2 we present a characterization of  $I$ -sets w.r.t. arbitrary weak Chebyshev spaces (Theorem 2.1), which does not involve any structural properties of  $U$ . Instead, the unions of the intervals  $[t_i, t_{i+1}]$  between interpolation points are considered. This result relies on an extension of Stockenberg’s theorem about zeros of functions in a  $WT$ -space (Theorem 2.4), which seems to be of independent interest. A (rather lengthy) proof of Theorem 2.4 is given in Section 4.

In Section 3 we generalize the above mentioned theorem of [2] and show that Schoenberg–Whitney characterization holds true for all weak Chebyshev spaces with a locally linearly independent basis. The proof involves an analysis of the relationship between  $I$ -sets and so-called *strong almost interpolation sets* as well as our previous results on almost interpolation [5, 9] and Theorem 2.1.

## 2. INTERPOLATION BY ARBITRARY WEAK CHEBYSHEV SPACES

We denote by  $F(K)$  the linear space of all real valued functions defined on  $K$  and by  $C(K)$  its subspace consisting of all continuous functions. For any  $f \in F(K)$  and any subspace  $U$  of  $F(K)$ , let

$$Z(f) := \{t \in K : f(t) = 0\}, \quad Z(U) := \bigcap_{f \in U} Z(f).$$

We need the following (somewhat unusual in the case  $\beta < \alpha$ ) definition of the *closed interval* with endpoints  $\alpha, \beta \in K$ ,

$$[\alpha, \beta] := \begin{cases} \{t \in K : \alpha \leq t \leq \beta\} & \text{if } \alpha \leq \beta \\ \{t \in K : t \geq \alpha \text{ or } t \leq \beta\} & \text{if } \beta < \alpha. \end{cases}$$

In the same way we define *open* and *halfopen intervals*.

The main result of this section reads as follows.

**THEOREM 2.1.** *Let  $U$  be an  $n$ -dimensional weak Chebyshev subspace of  $F(K)$ , and let  $T = \{t_1, \dots, t_n\} \subset K \setminus Z(U)$  such that  $t_1 < \dots < t_n$ , and  $t_{n+1} := t_1$ . The following conditions are equivalent:*

- (1)  $T$  is an  $I$ -set w.r.t.  $U$ .
- (2) For all  $P \subset \{1, \dots, n\}$ ,

$$\text{card} \left( T \cap \bigcup_{i \in P} [t_i, t_{i+1}] \right) \leq \dim U|_{\bigcup_{i \in P} [t_i, t_{i+1}]}. \quad (2.1)$$

A simple example shows that this characterization is no longer valid if one omits the assumption that  $U$  is a weak Chebyshev space. Moreover, we conjecture that only for  $WT$ -spaces every set  $T$  satisfying condition (2) is an  $I$ -set.

**EXAMPLE 2.2.** Let  $K = [0, 3] \subset \mathbb{R}$  and assume that  $U = \text{span}\{u_1, u_2\}$  where  $u_1 = 1$  on  $K$  and

$$u_2(t) = \begin{cases} 1-t & \text{if } 0 \leq t \leq 1 \\ 0 & \text{if } 1 < t < 2 \\ t-2 & \text{if } 2 \leq t \leq 3. \end{cases}$$

Set  $\tilde{u} = 1/2u_1 - u_2$ . Then it is obvious that  $\tilde{u}$  has two sign changes at  $t_1 = 1/2$  and  $t_2 = 5/2$ , respectively. This implies that  $U$  fails to be a weak Chebyshev space and, in particular, that  $T = \{t_1, t_2\}$  fails to be an  $I$ -set w.r.t.  $U$ . On the other hand,  $T$  satisfies condition (2) of Theorem 2.1.

We will prove Theorem 2.1 at the end of this section as a consequence of a result about location of zeros of functions in  $WT$ -spaces. The following generalized notion of separation of zeros will be particular important for our analysis.

Suppose that  $u \in U$  and  $\tilde{Z} \subset Z(u)$  are given. We say that zeros  $x_1, \dots, x_m \in Z(u) \setminus \tilde{Z}$ , where  $x_1 < \dots < x_m$ , are *cyclically separated with respect to  $\tilde{Z}$*  if for every  $i \in \{1, \dots, m\}$  there exists a subinterval

$$[y_{2i-1}, y_{2i}] \subset (x_i, x_{i+1}), \quad y_{2i-1}, y_{2i} \in K, \quad (2.2)$$

(we set  $x_{m+1} := x_1$  if  $i = m$ ) such that

$$u(y_j) \neq 0, \quad j = 1, \dots, 2m, \quad (2.3)$$

and

$$(x_i, x_{i+1}) \cap \tilde{Z} \subset (y_{2i-1}, y_{2i}). \quad (2.4)$$

Note that  $y_{2i-1} \leq y_{2i}$ ,  $i = 1, \dots, m-1$ . For  $i = m$  the following three cases can occur: (1)  $x_m < y_{2m-1} \leq y_{2m}$ , (2)  $y_{2m-1} \leq y_{2m} < x_1$ , and (3)  $y_{2m} < x_1 < x_m < y_{2m-1}$ .

If  $\tilde{Z} \cap (x_i, x_{i+1}) = \emptyset$ , then it is sufficient to have one point  $y_{2i-1} = y_{2i}$ , with  $u(y_{2i-1}) \neq 0$ . Otherwise, we need two different points  $y_{2i-1}$  and  $y_{2i}$  satisfying (2.4). If  $\tilde{Z} = \emptyset$ , then we simply say that  $x_1, \dots, x_m$  are *cyclically separated zeros* of  $u$ . Note that this definition requires that  $x_m$  and  $x_1$  are also separated from each other by some points  $y_{2m-1}$  and  $y_{2m}$  (possibly equal) that lie outside  $[x_1, x_m]$ , which is the reason for the word ‘‘cyclically’’. If  $\tilde{Z} = \emptyset$  and (2.2) and (2.3) are only satisfied for  $i = 1, \dots, m-1$  and  $j = 1, \dots, 2m-2$ , respectively, then the zeros  $x_1, \dots, x_m$  are *separated* in usual sense.

We say that  $x \in Z(u)$  is an *essential zero* of  $u \in U$  if  $x \notin Z(U)$ .

A relationship between the number of separated essential zeros of functions  $u \in U$  and the dimension  $n$  of  $U$  was found by Stockenberg [15]. We recall his theorem which has played an important role in characterizing continuous selections for metric projections (see e.g. [10]).

**THEOREM 2.3.** [15] *Suppose that  $U$  is an  $n$ -dimensional weak Chebyshev space.*

- (1) *If there exists  $u \in U$  with  $n$  separated essential zeros  $x_1, \dots, x_n$  such that  $x_1 < \dots < x_n$ , then  $u(t) = 0$  for all  $t \in [x_n, x_1]$ .*
- (2) *No  $u \in U$  has more than  $n$  separated essential zeros.*

Note that both statements of Theorem 2.3 are obviously contained in the following formulation: *no  $u \in U$  has more than  $n-1$  cyclically separated essential zeros.*

We generalize Theorem 2.3 as follows.

**THEOREM 2.4.** *Suppose that  $U$  is an  $n$ -dimensional weak Chebyshev subspace of  $F(K)$ , and let  $\tilde{Z} \subset K$ . If there exists  $u \in U$  such that  $\tilde{Z} \subset Z(u)$ , and  $u$  has  $m$  essential zeros  $x_1 < \dots < x_m$  that are cyclically separated w.r.t.  $\tilde{Z}$ , then*

$$\dim U_{|\tilde{Z}} \leq n - m - 1. \quad (2.5)$$

The proof of Theorem 2.4 will be given in Section 4.

Let us see that Theorem 2.4 contains Theorem 2.3 as a special case. Indeed, if we take  $\tilde{Z} = \emptyset$ , then (2.5) gives the bound  $m \leq n - 1$  on the number  $m$  of cyclically separated essential zeros of  $u$ , which yields Theorem 2.3.

Moreover, in the situation of Theorem 2.3, (1), i.e., when  $u \in U$  has  $n$  separated essential zeros  $x_1 < \dots < x_n$ , we can deduce from (2.5) a slightly stronger statement by setting  $\tilde{Z} := [x_n, x_1]$ . Since  $x_1, \dots, x_n$  are separated in  $[x_1, x_n]$ , it clearly follows that  $x_2, \dots, x_{n-1}$  are cyclically separated w.r.t.  $\tilde{Z}$ . Thus, by (2.5), and in view of the assumption that  $x_1 \notin Z(U)$ , we have  $\dim U_{|[x_n, x_1]} = 1$ . Therefore, under the hypotheses of Theorem 2.3, (1), not only  $u$  itself, but also every function  $v \in U$  such that  $v(x_1) = 0$  or  $v(x_n) = 0$  necessarily satisfies  $v(t) = 0$  for all  $t \in [x_n, x_1]$ . Particularly, in the important special case when  $K$  contains its minimal and maximal elements,  $a = \min K$  and  $b = \max K$ , we have the following corollary: if  $\dim U_{|\{a, b\}} = 2$ , then no  $u \in U$  has more than  $n - 1$  separated essential zeros. This applies specifically to spline spaces and recovers their well-known property (see [12]).

We will see now that Theorem 2.1 immediately follows from Theorem 2.4.

*Proof of Theorem 2.1.* If  $T$  is an  $I$ -set, then  $\text{card}(T \cap K') \leq \dim U_{|K'}$  for every subset  $K' \subset K$ . Therefore, we only have to show that (2) implies (1). On the contrary, suppose that  $T = \{t_1, \dots, t_n\}$  satisfies (2), but fails to be an  $I$ -set. Hence, there exists a function  $u \in U \setminus \{0\}$  such that

$$u(t_i) = 0, \quad i = 1, \dots, n.$$

We set

$$\tilde{P} = \{i : u_{|[t_i, t_{i+1}]} = 0\}, \quad \tilde{Z} = \bigcup_{i \in \tilde{P}} [t_i, t_{i+1}].$$

Let

$$T \setminus \tilde{Z} = \{x_1, \dots, x_m\}.$$

Then it is easy to see that  $x_1, \dots, x_m$  are essential zeros of  $u$  that are cyclically separated w.r.t.  $\tilde{Z}$ . Therefore, by (2.5),  $\dim U_{|\tilde{Z}} \leq n - m - 1$ . On the other hand, (2.1), with  $P = \tilde{P}$ , implies  $\dim U_{|\tilde{Z}} \geq n - m$ , a contradiction. ■

### 3. *WT*-SPACES WITH A LOCALLY LINEARLY INDEPENDENT BASIS

Throughout this section we assume that  $K$  is endowed with a topology consistent with the ordering. We denote by  $\bar{M}$  and  $\text{int } M$  the closure and the interior, respectively, of any subset  $M \subset K$ . For every function  $f \in F(K)$ , we set

$$\text{supp } f := \overline{\{x \in K : f(x) \neq 0\}}.$$

Let  $\{u_1, \dots, u_n\}$  be a system of functions in  $F(K)$ . The following notion of a locally linearly independent system which generalizes a well-known property of univariate  $B$ -splines has proven to be important in the problems of interpolation.

**DEFINITION 3.1.** We say that  $\{u_1, \dots, u_n\}$  is a *locally linearly independent system (LI-system)* if for any  $t \in K$  and any neighborhood  $B(t)$  of  $t$  there exists an open set  $B'$ , with  $t \in B' \subset B(t)$ , such that the subsystem

$$\{u_i : B' \cap \text{supp } u_i \neq \emptyset\}$$

is linearly independent on  $B'$ .

It has been shown in [9] that the above definition is equivalent to the standard definition of local linear independence by de Boor and Höllig [1], so that  $\{u_1, \dots, u_n\}$  is an *LI-system* if and only if for every open  $B \subset K$ , the condition

$$\sum_{i=1}^n a_i u_i(x) = 0, \quad x \in B,$$

implies  $a_i = 0$  for all  $i$  such that  $B \cap \text{supp } u_i \neq \emptyset$ .

An important feature of an *LI-system*  $\{u_1, \dots, u_n\}$  is that it forms a least supported basis for its span (see Carnicer and Peña [3]).

Carnicer and Peña [2] have also shown that for a space of continuous functions on the real interval spanned by an *LI-system* satisfying weak Descartes property, interpolation sets can be characterized by Schoenberg–Whitney condition in support form. In order to formulate this result, we

need the definition of a weak Descartes system. Recall that a matrix is said to be *totally positive* if all its minors are nonnegative.

**DEFINITION 3.2.** We say that a system of functions  $\{u_1, \dots, u_n\}$  in  $F(K)$  is a *weak Descartes system* (*WD-system*) if the matrix  $(u_i(t_j))_{i,j=1}^n$  is totally positive for all choices of  $t_1, \dots, t_n \in K$  such that  $t_1 < \dots < t_n$ .

**THEOREM 3.3.** [2] *Suppose that  $K = [a, b]$  is an interval of the real line  $\mathbb{R}$ , and  $\{u_1, \dots, u_n\} \subset C(K)$  is simultaneously an *LI-system* and *WD-system*. Let  $T = \{t_1, \dots, t_n\} \subset K$  such that  $t_1 < \dots < t_n$ . The following conditions are equivalent:*

- (1)  $T$  is an *I-set* w.r.t.  $U = \text{span}\{u_1, \dots, u_n\}$ .
- (2)  $t_i \in \{x \in K : u_i(x) \neq 0\}$ ,  $i = 1, \dots, n$ .

The main objective of this section is to provide a generalization of Theorem 3.3 in two directions. First, we relax the condition that  $\{u_1, \dots, u_n\}$  is a *WD-system* and show that the theorem essentially holds for every weak Chebyshev space possessing an *LI-basis*. Second, we allow  $K$  to be a general totally ordered set. Our main tools are Theorem 2.1 and some results of our previous research [8, 9] on almost interpolation by spaces with locally linearly independent bases.

**DEFINITION 3.4.** Let  $U$  be a finite-dimensional subspace of  $F(K)$ ,  $\dim U = n$ . A set  $T = \{t_1, \dots, t_s\} \subset K$ ,  $s \leq n$  is called an *almost interpolation set* (*AI-set*) w.r.t.  $U$  if for any system of neighborhoods  $B_i$  of  $t_i$ ,  $i = 1, \dots, s$ , there exist points  $t'_i \in B_i$  such that  $T' = \{t'_1, \dots, t'_s\}$  is an *I-set* w.r.t.  $U$ .

Next two theorems are valid for any topological space  $K$ .

**THEOREM 3.5.** [5] *Let  $U$  be a finite-dimensional subspace of  $F(K)$ ,  $\dim U = n$ , and let  $T = \{t_1, \dots, t_s\} \subset K$ ,  $s \leq n$ . Then  $T$  is an *AI-set* w.r.t.  $U$  if and only if*

$$\dim U_{|B(T')} \geq \text{card } T', \quad \text{all open } B(T') \supset T', \quad (3.1)$$

for every choice of a nonempty subset  $T' \subset T$ .

We note that condition (3.1) can be also written as

$$\text{l-dim}_{T'} U \geq \text{card } T',$$

where  $\text{l-dim}_{T'} U$  denotes the *local dimension* of  $U$  on  $T'$ , i.e.,

$$\text{l-dim}_{T'} U := \inf\{\dim U_{|B} : T' \subset B, B \text{ open}\}.$$

**THEOREM 3.6.** [9] *Let  $\{u_1, \dots, u_n\} \subset F(K)$  be a locally linearly independent system and  $U = \text{span}\{u_1, \dots, u_n\}$ . A finite set  $T = \{t_1, \dots, t_s\} \subset K$ ,  $s \leq n$ , is an *AI-set* w.r.t.  $U$  if and only if there exists some permutation  $\sigma$  of  $\{1, \dots, n\}$  such that*

$$t_i \in \text{supp } u_{\sigma(i)}, \quad i = 1, \dots, s.$$

Generally, for example if  $K$  is a domain in  $\mathbb{R}^d$ ,  $d > 1$ , many almost interpolation sets fail to be *I-sets*. However, in our case of a totally ordered  $K$  the situation is much better. In fact, it is often enough to strengthen the condition of almost interpolation in the following obvious way, in order to get a characterization of *I-sets*.

**DEFINITION 3.7.** A set  $T = \{t_1, \dots, t_s\} \subset K$ ,  $s \leq n$  is called a *strong AI-set* w.r.t.  $U$  if there exist neighborhoods  $B_i$  of  $t_i$ ,  $i = 1, \dots, s$ , such that  $T' = \{t'_1, \dots, t'_s\}$  is an *AI-set* w.r.t.  $U$  as soon as  $t'_i \in B_i$ ,  $i = 1, \dots, s$ .

If  $U$  includes only continuous functions on  $K$ , i.e.,  $U \subset C(K)$ , then every *I-set* w.r.t.  $U$  is easily seen to be a strong *AI-set*.

Let now  $K$  be again a totally ordered set. We say that a point  $t \in K$  has *V-property* if either  $t$  is an isolated point of  $K$ , or

$$t = \sup\{x \in K : x < t\} = \inf\{x \in K : x > t\}.$$

(The latter means, in particular, that both sets in the last display are non-empty.)

**LEMMA 3.8.** *Let  $U$  be a finite-dimensional subspace of  $F(K)$ ,  $\dim U = n$ , and let  $T = \{t_1, \dots, t_s\} \subset K$ ,  $s \leq n$ , such that  $t_1 < \dots < t_s$ , and  $t_{s+1} := t_1$ . Suppose that every point  $t_i$ ,  $i = 1, \dots, s$ , satisfies *V-property*. If  $T$  is a strong *AI-set* w.r.t.  $U$ , then for every  $P \subset \{1, \dots, s\}$ ,*

$$\text{card} \left( T \cap \bigcup_{i \in P} [t_i, t_{i+1}] \right) \leq \dim U|_{\bigcup_{i \in P} [t_i, t_{i+1}]}.$$

*Proof.* On the contrary, suppose that

$$\dim U|_{R_{\tilde{P}}} < \text{card} (T \cap R_{\tilde{P}}) \tag{3.2}$$

for some  $\tilde{P} \subset \{1, \dots, s\}$ , where  $R_{\tilde{P}} = \bigcup_{j \in \tilde{P}} [t_j, t_{j+1}]$ . Then we also have

$$\dim U|_{\text{int } R_{\tilde{P}}} < \text{card} (T \cap R_{\tilde{P}}). \tag{3.3}$$



However, since  $T$  is a strong  $AI$ -set and every point  $t_i$ ,  $i=0, \dots, s$ , satisfies  $V$ -property, for each  $t_j \in R_{\bar{P}}$  we can find a point  $t'_j \in \text{int } R_{\bar{P}}$  such that

$$T' = \{t'_1, \dots, t'_s\}$$

is an  $AI$ -set (we set  $t'_j = t_j$  when  $t_j \notin R_{\bar{P}}$ ). Thus,

$$\text{card}(T' \cap \text{int } R_{\bar{P}}) = \text{card}(T \cap R_{\bar{P}}), \quad (3.4)$$

and, because of (3.3),

$$\dim U|_{\text{int } R_{\bar{P}}} < \text{card}(T' \cap \text{int } R_{\bar{P}}),$$

which is impossible in view of Theorem 3.5. ■

From this lemma and Theorem 2.1 we immediately get the following result describing relationship between  $I$ -sets and strong  $AI$ -sets w.r.t. a weak Chebyshev space.

**THEOREM 3.9.** *Let  $U$  be an  $n$ -dimensional weak Chebyshev subspace of  $F(K)$ , and let  $T = \{t_1, \dots, t_n\} \subset K \setminus Z(U)$ . Suppose that every point  $t_i$ ,  $i=1, \dots, n$ , satisfies  $V$ -property.*

(1) *If  $T$  is a strong  $AI$ -set w.r.t.  $U$ , then  $T$  is an  $I$ -set.*

(2) *Moreover, if  $U \subset C(K)$ , then the following conditions are equivalent:*

- *$T$  is an  $I$ -set w.r.t.  $U$ .*
- *$T$  is a strong  $AI$ -set w.r.t.  $U$ .*

The following example shows that Theorem 3.9 is not true in general if the points of  $T$  do not satisfy  $V$ -property.

**EXAMPLE 3.10.** Let  $K = [-1, 1] \cup \{-2, 2\} \subset \mathbb{R}$  and assume that  $U = \text{span}\{u_1, u_2\}$  where  $u_1(t) = t$ ,  $t \in K$ , and

$$u_2(t) = \begin{cases} 1 - t^2 & \text{if } t \in [-1, 1] \\ 0 & \text{if } t \in \{-2, 2\}. \end{cases}$$

It then follows that  $U$  is a weak Chebyshev space. Set  $T = \{t_1, t_2\}$  where  $t_1 = -1$  and  $t_2 = 1$ . Then in view of Theorem 3.5,  $T$  is an  $AI$ -set w.r.t.  $U$ . Moreover,  $T$  is a strong  $AI$ -set, since  $T' = \{t'_1, t'_2\}$  is an  $AI$ -set for all  $t_1 \leq t'_1 < t'_2 \leq t_2$ . However,  $T$  fails to be an  $I$ -set w.r.t.  $U$  since  $T \subset Z(u_2)$ . It is also easy to see that both  $t_1$  and  $t_2$  fail to have  $V$ -property.

We now turn to the main subject of this section: characterization of  $I$ -sets for weak Chebyshev spaces with  $LI$ -basis.

**THEOREM 3.11.** *Let  $U$  be an  $n$ -dimensional weak Chebyshev subspace of  $C(K)$ , such that  $U = \text{span}\{u_1, \dots, u_n\}$ , where  $\{u_1, \dots, u_n\}$  is an  $LI$ -system, and let  $T = \{t_1, \dots, t_n\} \subset K \setminus Z(U)$ . Suppose that every point  $t_i$ ,  $i = 1, \dots, n$ , satisfies  $V$ -property. Then  $T$  is an  $I$ -set w.r.t.  $U$  if and only if there exists some permutation  $\sigma$  of  $\{1, \dots, n\}$  such that*

$$t_i \in \text{int supp } u_{\sigma(i)}, \quad i = 1, \dots, n. \quad (3.5)$$

*Proof.* Let us first assume that (3.5) holds. We show that  $T$  is a strong  $AI$ -set w.r.t.  $U$ . It follows from Theorem 3.6 that  $T$  is an  $AI$ -set. Let  $V_i := \text{int supp } u_{\sigma(i)}$ ,  $i = 1, \dots, n$ . Then  $V_i$  is an open neighborhood of  $t_i$ ,  $i = 1, \dots, n$ , and again in view of Theorem 3.6,  $T' = \{t'_1, \dots, t'_n\}$  is an  $AI$ -set w.r.t.  $U$  for all  $t'_i \in V_i$ ,  $i = 1, \dots, n$ . This shows that  $T$  is a strong  $AI$ -set. It then follows from Theorem 3.9 that  $T$  is an  $I$ -set w.r.t.  $U$ . (This direction is even true without the assumption that  $U \subset C(K)$ .)

For the converse assume that  $T$  is an  $I$ -set w.r.t.  $U$ . We prove (3.5) by induction on  $n$  (where we do not use the hypothesis on  $U$  to be a weak Chebyshev space).

Let  $n = 1$ . Then  $U = \text{span}\{u_1\}$  and  $T = \{t_1\} \subset K \setminus Z(u_1)$ . Hence,  $u_1(t_1) \neq 0$ , and, since  $u_1 \in C(K)$ ,  $t_1 \in \text{int supp } u_1$ .

Assume now that the statement is true up to  $n - 1$ . Since  $T = \{t_1, \dots, t_n\}$  is an  $I$ -set w.r.t.  $U$ , it is also an  $AI$ -set, which, in view of Theorem 3.6, implies that there exists some permutation  $\sigma$  of  $\{1, \dots, n\}$  such that

$$t_i \in \text{supp } u_{\sigma(i)}, \quad i = 1, \dots, n.$$

Without loss of generality assume that  $\sigma(i) = i$ ,  $i = 1, \dots, n$ . Suppose now that  $t_1 \notin \text{int supp } u_1$ . Then  $u_1(t_1) = 0$  since  $u_1 \in C(K)$ .

Let  $M := (u_i(t_j))_{i,j=1}^n$  and let  $M_{i1}$  denote the submatrix of  $M$  obtained by omitting the  $i$ -th row and the first column. Then

$$\det M = \sum_{i=1}^n (-1)^{i+1} u_i(t_1) \det M_{i1}.$$

Since  $T$  is an  $I$ -set,  $\det M \neq 0$ , which implies that  $u_\ell(t_1) \neq 0$  and  $\det M_{\ell 1} \neq 0$  for some  $\ell \in \{2, \dots, n\}$ .

Hence,  $\{t_2, \dots, t_n\}$  is an  $I$ -set w.r.t.  $\text{span}\{u_1, \dots, u_{\ell-1}, u_{\ell+1}, \dots, u_n\}$ . Applying the induction hypothesis to this situation we find a bijection  $\tilde{\sigma}$  from  $\{2, \dots, n\}$  to  $\{1, \dots, \ell - 1, \ell + 1, \dots, n\}$  such that

$$t_i \in \text{int supp } u_{\tilde{\sigma}(i)}, \quad i = 2, \dots, n.$$

Moreover,  $u_\ell(t_1) \neq 0$  implies that  $t_1 \in \text{int supp } u_\ell$ .

Therefore, setting

$$\sigma(i) := \begin{cases} \tilde{\sigma}(i) & \text{if } i = 2, \dots, n, \\ \ell & \text{if } i = 1, \end{cases}$$

we obtain the desired statement

$$t_i \in \text{int supp } u_{\sigma(i)}, \quad i = 1, \dots, n.$$

This completes the proof of Theorem 3.11. ■

Example 3.10 also shows that  $V$ -property of points  $t_i$  is essential in the formulation of Theorem 3.11. Indeed, it is easy to see that the functions  $u_1, u_2$  of Example 3.10 form an  $LI$ -system, and  $T = \{-1, 1\}$  fails to be an  $I$ -set w.r.t.  $U = \text{span}\{u_1, u_2\}$  despite the fact that (3.5) holds.

If we now take  $K = [a, b] \subset \mathbb{R}$ , then  $V$ -property is satisfied for every  $t \in K$  except  $t = a$  or  $b$ . Therefore, the hypotheses of Theorem 3.11 do not allow  $T$  to include the endpoints of the interval  $[a, b]$ . In fact, some extra conditions at these points have to be imposed.

**THEOREM 3.12.** *Let  $U$  be an  $n$ -dimensional weak Chebyshev subspace of  $F[a, b]$ , such that  $U = \text{span}\{u_1, \dots, u_n\}$ , where  $\{u_1, \dots, u_n\}$  is an  $LI$ -system, and let  $T = \{t_1, \dots, t_n\} \subset K \setminus Z(U)$ . Suppose that there exists some permutation  $\sigma$  of  $\{1, \dots, n\}$  such that*

- (1)  $t_i \in \text{int supp } u_{\sigma(i)}, i = 1, \dots, n,$
- (2)  $u_{\sigma(i)}(t_i) \neq 0$  if  $t_i \in \{a, b\}$ , and
- (3)  $u_{\sigma(i)}(t_i) u_{\sigma(j)}(t_j) - u_{\sigma(i)}(t_j) u_{\sigma(j)}(t_i) \neq 0$  if  $t_i, t_j \in \{a, b\}, t_i \neq t_j.$

Then  $T$  is an  $I$ -set w.r.t.  $U$ .

*Proof.* Let us first consider the case  $n = 1$ . If  $t_1 \in (a, b)$ , then  $t_1$  obviously satisfies  $V$ -property, and hence  $T = \{t_1\}$  is an  $I$ -set w.r.t.  $U$  by Theorem 3.11. Otherwise,  $u_1(t_1) \neq 0$  by (2), and  $T$  is an  $I$ -set again.

Suppose  $n \geq 2$ . If  $T \subset (a, b)$ , then every point  $t_i$  has  $V$ -property, and the statement follows from Theorem 3.11. However, in the case  $T \cap \{a, b\} \neq \emptyset$  Theorem 3.11 is not applicable. Therefore, we argue as follows.

We first extend the interval  $[a, b]$  to the open interval  $\tilde{K} := (a - \varepsilon, b + \varepsilon)$  for some  $\varepsilon > 0$ . Then every  $t_i \in T, i = 1, \dots, n$ , obviously satisfies  $V$ -property (w.r.t.  $\tilde{K}$ ). Moreover, extend each function  $u_i, i = 1, \dots, n$ , to a function  $\tilde{u}_i \in F(\tilde{K})$  by

$$\tilde{u}_i(t) = \begin{cases} u_i(t) & \text{if } t \in [a, b], \\ u_i(a) & \text{if } t \in (a - \varepsilon, a), \\ u_i(b) & \text{if } t \in (b, b + \varepsilon). \end{cases}$$

Then  $\tilde{U} := \text{span}\{\tilde{u}_1, \dots, \tilde{u}_n\}$  is again a weak Chebyshev space (while  $\{\tilde{u}_1, \dots, \tilde{u}_n\}$  is no longer an  $LI$ -system).

If  $T$  is a strong  $AI$ -set w.r.t.  $\tilde{U}$ , then, by Theorem 3.9, it is also an  $I$ -set w.r.t.  $\tilde{U}$  and, in particular, w.r.t.  $U$  (since  $U = \tilde{U}|_{[a, b]}$  and  $T \subset [a, b]$ ). Thus, it suffices to show that  $T$  is a strong  $AI$ -set w.r.t.  $\tilde{U}$ .

To this end we consider sufficiently small open neighbourhoods  $V_i$  of  $t_i$ 's, such that

$$\begin{aligned} V_i &\subset \text{int supp } \tilde{u}_{\sigma(i)}, \\ V_i \cap V_j &= \emptyset \quad \text{if } i \neq j, \\ V_i &\subset (a, b) \quad \text{if } t_i \in (a, b), \end{aligned}$$

and take arbitrary points  $\tilde{t}_i \in V_i$ ,  $i = 1, \dots, n$ . We have to check that  $\tilde{T} := \{\tilde{t}_1, \dots, \tilde{t}_n\}$  is an  $AI$ -set w.r.t.  $\tilde{U}$ . In view of Theorem 3.5 this will follow if we prove that

$$1\text{-dim}_{T'} \tilde{U} \geq \text{card } T', \quad (3.6)$$

for every nonempty  $T' \subset \tilde{T}$ .

Suppose without loss of generality that  $\tilde{t}_1 < \tilde{t}_2 < \dots < \tilde{t}_n$ . Let  $T' = \{\tilde{t}_{i_1}, \dots, \tilde{t}_{i_r}\} \subset \tilde{T}$ . If  $T' \subset (a, b)$ , then Theorem 3.6 ensures that  $T'$  is an  $AI$ -set w.r.t.  $U$  since  $\tilde{t}_{i_j} \in V_{i_j} \subset \text{supp } u_{\sigma(i_j)}$ ,  $j = 1, \dots, r$ . Therefore,

$$1\text{-dim}_{T'} \tilde{U} = 1\text{-dim}_{T'} U \geq r = \text{card } T'$$

by Theorem 3.5, and (3.6) holds.

Assume that  $T' \setminus (a, b) \neq \emptyset$ . Obviously, at most two points in  $T'$  may lie outside  $(a, b)$ . We consider only the worst case  $T' \setminus (a, b) = \{\tilde{t}_{i_1}, \tilde{t}_{i_2}\} = \{\tilde{t}_1, \tilde{t}_n\}$ . (The other cases can be handled analogously.) Then necessarily  $t_1 = a$ ,  $t_n = b$ . Set  $\hat{T} := T' \setminus \{\tilde{t}_1, \tilde{t}_n\}$ . Since  $\hat{T} \subset (a, b)$ , we have, as in the above,

$$1\text{-dim}_{\hat{T}} U \geq \text{card } \hat{T} = r - 2.$$

If  $1\text{-dim}_{\hat{T}} U \geq r$ , then

$$1\text{-dim}_{T'} \tilde{U} \geq 1\text{-dim}_{\hat{T}} \tilde{U} = 1\text{-dim}_{\hat{T}} U \geq r = \text{card } T',$$

and (3.6) follows. Otherwise, recall that by the definition of  $\tilde{u}_i$  we have

$$\begin{aligned} \tilde{u}_{\sigma(1)}(\tilde{t}_1) &= u_{\sigma(1)}(t_1), & \tilde{u}_{\sigma(n)}(\tilde{t}_n) &= u_{\sigma(n)}(t_n), \\ \tilde{u}_{\sigma(1)}(\tilde{t}_n) &= u_{\sigma(1)}(t_n), & \tilde{u}_{\sigma(n)}(\tilde{t}_1) &= u_{\sigma(n)}(t_1), \end{aligned}$$

and hence conditions (2) and (3) ensure that

$$\tilde{u}_{\sigma(1)}(\tilde{t}_1) \neq 0, \quad \tilde{u}_{\sigma(n)}(\tilde{t}_n) \neq 0, \quad \det \begin{pmatrix} \tilde{u}_{\sigma(1)}(\tilde{t}_1) & \tilde{u}_{\sigma(1)}(\tilde{t}_n) \\ \tilde{u}_{\sigma(n)}(\tilde{t}_1) & \tilde{u}_{\sigma(n)}(\tilde{t}_n) \end{pmatrix} \neq 0. \quad (3.7)$$

Moreover, by [9, Theorem 3.4], since  $\{u_1, \dots, u_n\}$  is an  $LI$ -system, we have

$$\text{l-dim}_{\hat{T}} U = \text{card} \{i = 1, \dots, n : \hat{T} \cap \text{supp } u_i \neq \emptyset\}. \quad (3.8)$$

If  $\text{l-dim}_{\hat{T}} U = r - 2$ , then (3.8) implies that

$$\tilde{t} \notin \text{supp } u_{\sigma(1)} \cup \text{supp } u_{\sigma(n)}, \quad \text{all } \tilde{t} \in \hat{T}.$$

Combining this with (3.7), we see that  $\text{l-dim}_{\mathcal{T}'} \tilde{U} \geq r$ , and (3.6) holds. If  $\text{l-dim}_{\hat{T}} U = r - 1$ , then by (3.8),

$$\tilde{t} \notin \text{supp } u_{\sigma(i)}, \quad \text{all } \tilde{t} \in \hat{T},$$

for at least one  $i \in \{1, n\}$ . Since  $\tilde{u}_{\sigma(i)}(\tilde{t}_i) \neq 0$ ,  $i \in \{1, n\}$ , we again have  $\text{l-dim}_{\mathcal{T}'} \tilde{U} \geq r$ , which completes the proof. ■

It is easy to see that condition (3) of Theorem 3.12 is superfluous if  $\{u_1, \dots, u_n\} \subset C[a, b]$  is simultaneously an  $LI$ -system and  $WD$ -system, i.e., in the setting of Theorem 3.3. Indeed, in this case (3) is a consequence of (2) in view of the following lemma due to Carnicer and Peña.

**LEMMA 3.13.** [2] *Let  $\{u_1, u_2\} \subset C[a, b]$  be simultaneously an  $LI$ -system and  $WD$ -system. If  $u_1(a) \neq 0$  and  $u_2(b) \neq 0$ , then*

$$\det \begin{pmatrix} u_1(a) & u_1(b) \\ u_2(a) & u_2(b) \end{pmatrix} \neq 0.$$

Moreover, conditions (1) and (2) are now equivalent to

$$t_i \in \{x \in K : u_{\sigma(i)}(x) \neq 0\}, \quad i = 1, \dots, n,$$

which shows that Theorem 3.3 follows from Theorem 3.12.

#### 4. PROOF OF THEOREM 2.4

On the contrary, suppose that

$$\dim U|_{\mathcal{Z}} \geq n - m.$$

Then there exists  $T = \{t_1, \dots, t_{n-m}\} \subset \tilde{Z}$  such that

$$\dim U|_T = n - m,$$

and, since  $x_1, \dots, x_m$  are cyclically separated w.r.t.  $\tilde{Z}$ ,

$$T \subset \bigcup_{i=1}^m (y_{2i-1}, y_{2i})$$

where  $\{y_j\}_{j=1}^{2m}$  satisfy (2.2)–(2.4). We set

$$\begin{aligned} X &:= \{x_1, \dots, x_m\}, & Y &:= \{y_1, \dots, y_{2m}\}, \\ x_{m+1} &:= x_1, & y_{2m+1} &:= y_1. \end{aligned}$$

Let  $j^* \in \{2m-2, 2m-1, 2m\}$  be a unique index such that

$$y_{j^*} > y_{j^*+1}.$$

We set

$$n_j := \text{card} ([y_j, y_{j+1}] \cap (X \cup T)), \quad j = 1, \dots, 2m.$$

It is obvious that

$$\sum_{j=1}^{2m} n_j = n. \quad (4.1)$$

We now construct a function  $v \in U$  such that  $(X \cup T) \cap Z(v) = \emptyset$ . Since  $\dim U|_T = n - m = \text{card } T$ , we interpolate on  $T$  as follows. Let  $j \neq j^*$  and  $T \cap [y_j, y_{j+1}] \neq \emptyset$ . Then in view of (2.4),  $j$  is an odd number, which implies that  $[y_j, y_{j+1}] \cap X = \emptyset$ . Thus we have

$$T \cap [y_j, y_{j+1}] = \{t_{k_j} < \dots < t_{k_j+n_j-1}\}, \quad n_j \geq 1.$$

We require

$$\text{sign } v(t_{k_j+s}) = (-1)^s \text{sign } u(y_j), \quad s = 0, \dots, n_j - 1. \quad (4.2)$$

Consider now the index  $j^*$  and assume that  $T \cap [y_{j^*}, y_{j^*+1}] \neq \emptyset$ . In view of (2.4), it is quite clear that only the case  $j^* = 2m-1$ ; i.e.,  $y_{2m} < x_1$ ,  $x_m < y_{2m-1}$  can occur. Moreover, it then follows that  $n_{j^*} = \text{card}(T \cap [y_{j^*}, y_{j^*+1}]) \geq 1$  and there exists  $p \in \{0, \dots, n_{j^*}\}$  such that

$$T \cap [y_{j^*}, y_{j^*+1}] = \{t_{k_{j^*}}, \dots, t_{k_{j^*}+n_{j^*}-1}\}$$

and

$$t_{k_j^*+p} < \cdots < t_{k_j^*+n_j^*-1} < y_{2m} < x_1 \\ < \cdots < x_m < y_{2m-1} < t_{k_j^*} < \cdots < t_{k_j^*+p-1}.$$

This means that all points lie to the left of  $y_{2m}$  if  $p=0$  and to the right of  $y_{2m-1}$  if  $p=n_j^*$ . If  $p \neq 0$ , we require

$$\text{sign } v(t_{k_j^*+s}) = \begin{cases} (-1)^s \text{sign } u(y_{2m-1}) & \text{if } s=0, \dots, p-1 \\ (-1)^{s+n-1} \text{sign } u(y_{2m-1}) & \text{if } s=p, \dots, n_j^*-1. \end{cases} \quad (4.3)$$

If  $p=0$ , we require

$$\text{sign } v(t_{k_j^*+s}) = (-1)^{s+n_j^*-1} \text{sign } u(y_{2m}), \quad s=0, \dots, n_j^*-1. \quad (4.4)$$

Since  $x_1, \dots, x_m$  are essential zeros of  $u$ , we can apply [15, Lemma 2] and require

$$v(x_i) \neq 0, \quad i=1, \dots, m. \quad (4.5)$$

Thus, a function  $v \in U$  with properties (4.2)–(4.5) must exist. In view of (2.3), we can find  $\varepsilon > 0$  such that

$$|\varepsilon v(y_j)| < |u(y_j)|, \quad j=1, \dots, 2m. \quad (4.6)$$

We now show that at least one of the functions  $u - \varepsilon v$ ,  $u + \varepsilon v \in U$  has  $n$  sign changes on  $K$  contradicting the weak Chebyshev property of  $U$ .

To this end we determine a subset  $D$  of  $\{1, \dots, 2m\}$  as follows. We say that  $j \in D$  if both  $n_j \neq 0$  and

$$\text{sign } u(y_j) u(y_{j+1}) = \begin{cases} (-1)^{n_j+1} & \text{if } j \neq j^* \\ (-1)^{n_j+n} & \text{if } j = j^*. \end{cases} \quad (4.7)$$

We now divide  $D$  into two subsets  $P$  and  $N$  as follows. Let  $j \in D$ . We say that  $j \in P$  if either  $j$  is odd, or  $j=2i$  and

$$\text{sign } u(y_{2i}) v(x_{i+1}) = \begin{cases} 1 & \text{if } y_{2i} < x_{i+1} \\ (-1)^{n+1} & \text{if } y_{2i} > x_{i+1}. \end{cases} \quad (4.8)$$

Note that  $y_{2i} > x_{i+1}$  can happen only when  $2i=2m=j^*$ . In this case  $n_{j^*}=1$  and, in view of (4.7), (4.8) is equivalent to

$$\text{sign } u(y_1) v(x_1) = 1.$$

We set  $N = D \setminus P$  and suppose, without loss of generality, that

$$\text{card } P \geq \text{card } N. \quad (4.9)$$

We shall show that  $u - \varepsilon v$  has at least  $n$  sign changes on  $K$  contradicting the assumption on  $U$  to be a weak Chebyshev space. (If  $\text{card } P < \text{card } N$ , then similar argumentation shows that  $u + \varepsilon v$  has at least  $n$  sign changes.)

We first prove the following statement.

LEMMA. *The function  $u - \varepsilon v$  has at least*

$$n - n_{j^*} + \text{card}(P \setminus \{j^*\}) - \text{card}(N \setminus \{j^*\}) \quad (4.10)$$

*sign changes in the interval  $[y_{j^*+1}, y_{j^*}]$ .*

*Proof.* Suppose that  $j \neq j^*$  and  $n_j \geq 1$ . Let

$$[y_j, y_{j+1}] \cap (T \cup X) = \{\zeta_1, \dots, \zeta_{n_j}\}$$

such that  $y_j < \zeta_1 < \dots < \zeta_{n_j} < y_{j+1}$ . Since  $u(\zeta_i) = 0$ ,  $i = 1, \dots, n_j$ , it follows from (4.2) that  $u - \varepsilon v$  has at least  $n_j - 1$  sign changes in  $[\zeta_1, \zeta_{n_j}]$ . Moreover, if  $j \notin N$ , we can find some additional sign changes of  $u - \varepsilon v$  in  $[y_j, y_{j+1}]$ .

Indeed, if  $j \in \{1, \dots, 2m\} \setminus D$ , then by the definition of  $D$ ,

$$\text{sign } u(y_j) u(y_{j+1}) = (-1)^{n_j}.$$

Therefore, in view of (4.6), we obtain

$$\text{sign}(u - \varepsilon v)(y_j) = (-1)^{n_j} \text{sign}(u - \varepsilon v)(y_{j+1})$$

which would be impossible if  $u - \varepsilon v$  had exactly  $n_j - 1$  sign changes in  $[y_j, y_{j+1}]$ . Thus,  $u - \varepsilon v$  has at least  $n_j$  sign changes there when  $j \in \{1, \dots, 2m\} \setminus D$ .

We next consider the case when  $j \in P$ . Then, if  $j$  is an odd number, it follows from (4.2) that

$$\text{sign } u(y_j) = \text{sign } v(\zeta_1).$$

(Note that in this case  $X \cap [y_j, y_{j+1}] = \emptyset$  and  $t_{k_j} = \zeta_1$ .) Otherwise, if  $j$  is even, it follows from (2.4) and the fact that  $T \subset \tilde{Z}$  that  $[y_j, y_{j+1}] \cap (T \cup X) = \{x_{i+1}\}$  where  $j = 2i$ . Thus  $\zeta_1 = x_{i+1}$  and by (4.8), again

$$\text{sign } u(y_j) = \text{sign } v(\zeta_1).$$



Summarizing both cases, and by (4.6), we obtain

$$\text{sign}(u - \varepsilon v)(y_j) = -\text{sign}(u - \varepsilon v)(\zeta_1).$$

Therefore,  $u - \varepsilon v$  has at least  $n_j$  sign changes in  $[y_j, \zeta_{n_j}]$  if  $j \in P$ . Moreover, it follows from (4.7) that

$$\text{sign}(u - \varepsilon v)(y_j) = (-1)^{n_j+1} \text{sign}(u - \varepsilon v)(y_{j+1}).$$

Hence,  $u - \varepsilon v$  cannot have exactly  $n_j$  sign changes in  $[y_j, y_{j+1}]$ . By the above arguments, it has at least  $n_j + 1$  sign changes there when  $j \in P$ .

Thus we have shown that  $u - \varepsilon v$  has at least  $n_j - 1$ ,  $n_j$  or  $n_j + 1$  sign changes in  $[y_j, y_{j+1}]$  if  $j \in N$ ,  $j \in \{1, \dots, 2m\} \setminus D$  or  $j \in P$ , respectively. Taking into consideration that we had supposed that  $j \neq j^*$  we conclude that  $u - \varepsilon v$  has at least

$$\sum_{j \in N \setminus \{j^*\}} (n_j - 1) + \sum_{j \notin D \cup \{j^*\}} n_j + \sum_{j \in P \setminus \{j^*\}} (n_j + 1)$$

sign changes in  $[y_{j^*+1}, y_{j^*}] = \bigcup_{j=1; j \neq j^*}^{2m} [y_j, y_{j+1}]$ , which, in view of (4.1), implies (4.10) and completes the proof of the lemma. ■

To finish the proof of Theorem 2.4 we have to show that  $u - \varepsilon v$  has additional sign changes in the interval  $[y_{j^*}, y_{j^*+1}]$  if necessary. To this end we consider several cases. In each case we show that  $u - \varepsilon v$  has at least  $n$  sign changes on  $K$  contradicting the assumption on  $U$  to be a weak Chebyshev space.

*Case 1.* Assume that  $n_{j^*} = 0$ . Then  $j^* \notin D$  which implies that  $P \setminus \{j^*\} = P$ ,  $N \setminus \{j^*\} = N$  and, in view of (4.9), the lemma immediately yields that  $u - \varepsilon v$  has at least  $n$  sign changes.

*Case 2.* Assume that  $n_{j^*} = 1$  and  $j^* \in N$ . Then

$$\text{card}(P \setminus \{j^*\}) - \text{card}(N \setminus \{j^*\}) \geq 1,$$

and, hence,

$$n - n_{j^*} + \text{card}(P \setminus \{j^*\}) - \text{card}(N \setminus \{j^*\}) \geq n$$

which implies that  $u - \varepsilon v$  has at least  $n$  sign changes.

*Case 3.* Assume that  $n_{j^*} = 1$  and  $j^* \notin D$ . By the lemma,  $u - \varepsilon v$  has at least  $n - 1$  sign changes in  $[y_{j^*+1}, y_{j^*}]$ . Since  $n_{j^*} \neq 0$ , it follows from the definition of  $D$  (see (4.7)), that

$$\text{sign } u(y_{j^*}) u(y_{j^*+1}) = (-1)^{n_{j^*} + n + 1}.$$

Hence, by (4.6) we obtain

$$\text{sign}(u - \varepsilon v)(y_{j^*+1}) = (-1)^n \text{sign}(u - \varepsilon v)(y_{j^*}).$$

Therefore,  $u - \varepsilon v$  has at least  $n$  sign changes in  $[y_{j^*+1}, y_{j^*}]$ .

*Case 4.* Assume that  $n_{j^*} = 1$  and  $j^* \in P$ . It follows from the lemma that  $u - \varepsilon v$  has at least  $n - 2$  sign changes in  $[y_{j^*+1}, y_{j^*}]$ . By (4.6) and (4.7) we obtain that

$$\text{sign}(u - \varepsilon v)(y_{j^*}) = (-1)^{n+1} \text{sign}(u - \varepsilon v)(y_{j^*+1}).$$

Therefore,  $u - \varepsilon v$  must have at least  $n - 1$  sign changes in  $[y_{j^*+1}, y_{j^*}]$ . Since  $n_{j^*} = 1$ , we have

$$(y_{j^*}, y_{j^*+1}) \cap (X \cup T) = \{\zeta\}.$$

It follows from (4.3), (4.4), (4.7) and (4.8) that

$$\text{sign } v(\zeta) = \begin{cases} \text{sign } u(y_{j^*}) & \text{if } \zeta > y_{j^*} \\ \text{sign } u(y_{j^*+1}) & \text{if } \zeta < y_{j^*+1}. \end{cases}$$

Then, since  $u = 0$  on  $X \cup T$ , the function  $u - \varepsilon v$  has a sign change in  $(y_{j^*}, \zeta)$  if  $\zeta > y_{j^*}$  and in  $(\zeta, y_{j^*+1})$  if  $\zeta < y_{j^*+1}$ , respectively. Anyway,  $u - \varepsilon v$  has at least one sign change outside  $[y_{j^*+1}, y_{j^*}]$ .

Again, the total number of sign changes is at least  $n$ .

*Case 5.* Assume that  $n_{j^*} \geq 2$ . Then  $j^*$  must be odd which implies that  $j^* = 2m - 1$ . It then follows that

$$T \cap [y_{2m-1}, y_{2m}] = \{t_{k_{2m-1}}, \dots, t_{k_{2m-1} + n_{2m-1} - 1}\},$$

and, for some  $p \in \{0, \dots, n_{2m-1}\}$ ,

$$\begin{aligned} t_{k_{2m-1} + p} &< \dots < t_{k_{2m-1} + n_{2m-1} - 1} < y_{2m} < x_1 \\ &< \dots < x_m < y_{2m-1} < t_{k_{2m-1}} < \dots < t_{k_{2m-1} + p - 1}. \end{aligned}$$

We set

$$t_{\min} = \begin{cases} t_{k_{2m-1} + p} & \text{if } p \neq n_{2m-1}, \\ y_{2m} & \text{if } p = n_{2m-1}, \end{cases} \quad t_{\max} = \begin{cases} t_{k_{2m-1} + p - 1} & \text{if } p \neq 0, \\ y_{2m-1} & \text{if } p = 0. \end{cases}$$

In view of (4.3), it is easy to see that  $u - \varepsilon v$  has at least  $n_{2m-1} - p - 1$  sign changes in  $[t_{\min}, y_{2m}]$  and at least  $p$  sign changes in  $[y_{2m-1}, t_{\max}]$  if  $p \neq 0$ . Moreover, by (4.4),  $u - \varepsilon v$  has at least  $n_{2m-1}$  sign changes in  $[t_{\min}, y_{2m}]$  if  $p = 0$ .

If  $j^* \notin D$ , then by the lemma,  $u - \varepsilon v$  has at least  $n - n_{2m-1}$  sign changes in  $[y_{2m}, y_{2m-1}]$ . By the definition of  $D$ ,

$$\text{sign } u(y_{2m}) = (-1)^{n_{2m-1} + n - 1} \text{sign } u(y_{2m-1})$$

and, in view of (4.6),

$$\text{sign}(u - \varepsilon v)(y_{2m}) = (-1)^{n_{2m-1} + n - 1} \text{sign}(u - \varepsilon v)(y_{2m-1}).$$

Therefore,  $u - \varepsilon v$  must in fact have at least  $n - n_{2m-1} + 1$  sign changes in  $[y_{2m}, y_{2m-1}]$ .

Thus, by the above arguments,  $u - \varepsilon v$  has at least  $(n_{2m-1} - p - 1) + p + (n - n_{2m-1} + 1) = n$  sign changes in  $K$ .

Finally, let  $j^* \in D$ . Then  $j^* \in P$  since  $j^*$  is odd. By the lemma, the function  $u - \varepsilon v$  has at least  $n - n_{2m-1} - 1$  sign changes in  $[y_{2m}, y_{2m-1}]$ . As above, we deduce from (4.6) and (4.7) that

$$\text{sign}(u - \varepsilon v)(y_{2m}) = (-1)^{n_{2m-1} + n} \text{sign}(u - \varepsilon v)(y_{2m-1})$$

which shows that  $u - \varepsilon v$  must have at least  $n - n_{2m-1}$  sign changes in  $[y_{2m}, y_{2m-1}]$ . If now  $p = 0$  or  $p = n_{2m-1}$ , then by the above arguments,  $u - \varepsilon v$  has at least  $(n - n_{2m-1}) + n_{2m-1} = n$  sign changes in  $[t_{\min}, y_{2m-1}]$  or  $[y_{2m}, t_{\max}]$ , respectively. If  $p \in \{1, \dots, n_{2m-1} - 1\}$ , then  $u - \varepsilon v$  has at least  $(n - n_{2m-1}) + (n_{2m-1} - p - 1) + p = n - 1$  sign changes in  $[t_{\min}, t_{\max}]$ . Moreover, in view of (4.3),

$$\text{sign}(u - \varepsilon v)(t_{\min}) = (-1)^{p+n} \text{sign } u(y_{2m-1}),$$

$$\text{sign}(u - \varepsilon v)(t_{\max}) = (-1)^p \text{sign } u(y_{2m-1}),$$

which implies that

$$\text{sign}(u - \varepsilon v)(t_{\min}) = (-1)^n \text{sign}(u - \varepsilon v)(t_{\max}).$$

Hence,  $u - \varepsilon v$  must in fact have at least  $n$  sign changes in  $[t_{\min}, t_{\max}]$ . ■

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